

# Interpretation of Particle Motion in a Circular Accelerator as Diffraction of Light

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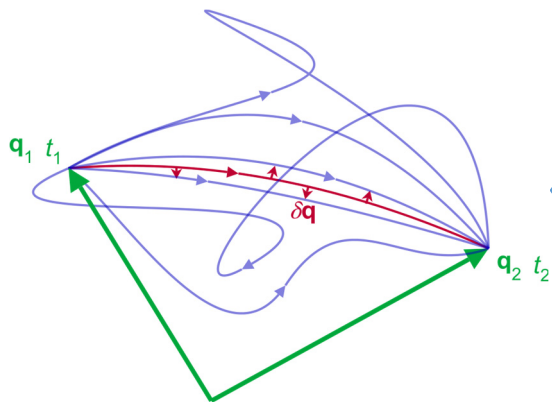
# Introduction

# Optico-Mechanical analogy

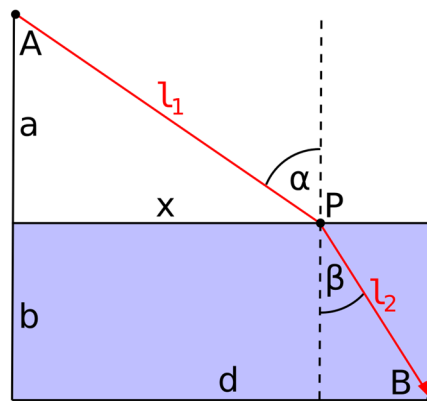
About 200 years ago, W.R. Hamilton derived one of the most important principles in classical mechanics, called **"Principle of least action"**, inspired by the **analogy between optics and mechanics**.

*Figures taken from Wikipedia*

## Principle of least action



## Fermat's Principle



**William Rowan Hamilton (1805 – 1865)**


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## Forced harmonic oscillator interpreted as diffraction of light

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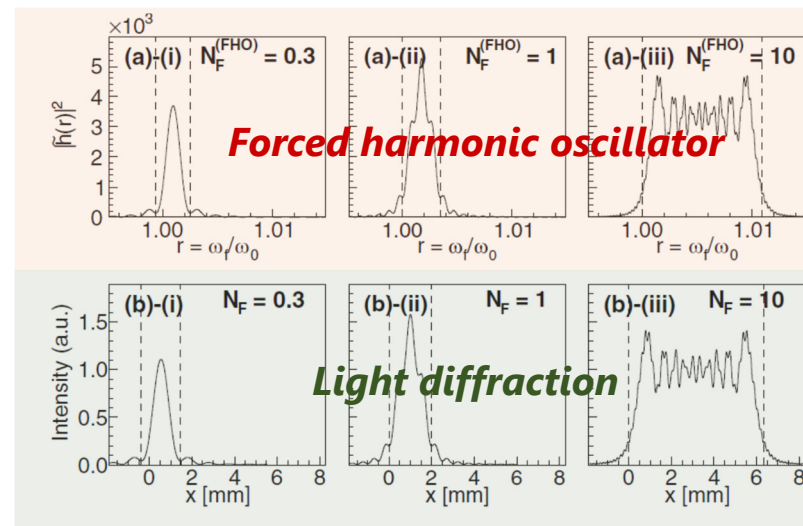
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We investigate a simple forced harmonic oscillator with a natural frequency varying with time. It is shown that the time evolution of such a system can be written in a simplified form with Fresnel integrals, as long as the variation of the natural frequency is sufficiently slow compared to the time period of oscillation. Thanks to such a simple formulation, we found that a forced harmonic oscillator with a slowly varying natural frequency is essentially equivalent to diffraction of light.

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In this talk, we present the analogy between the particle motion in a circular accelerator and the light diffraction.

**Frequency response of forced harmonic oscillator mimics an intensity pattern of light diffraction from a single-slit.**



# How the idea came about?

- The idea was born when we were **analyzing the transverse motion of an aborted electron beam** for the design of an aborted beam handling system in diffraction-limited rings – especially in the ring for the 3GeV Light Source Project (Sendai, Japan).
- In the 3GeV light source ring, when the stored beam is dumped, not only the RF power for acceleration is switched off, but also **a sinusoidal time-varying kick with a constant frequency is applied to the aborted beam by a beam shaker to reduce the beam density.**
- Since the aborted beam gradually loses its energy by synchrotron radiation, the betatron tune changes gradually according to the chromaticity, and consequently **a resonant condition also changes every moment.**
- A simple question – *What is the most effective shaker's frequency?* – naturally led us to modeling the aborted beam motion, and eventually we found **“the analogy to light diffraction from a single slit”**.

# Outline

## ■ Background

- ✓ Importance of safe beam abort in diffraction-limited rings (DLRs)
- ✓ Abort beam handling in the 3GeV light source ring

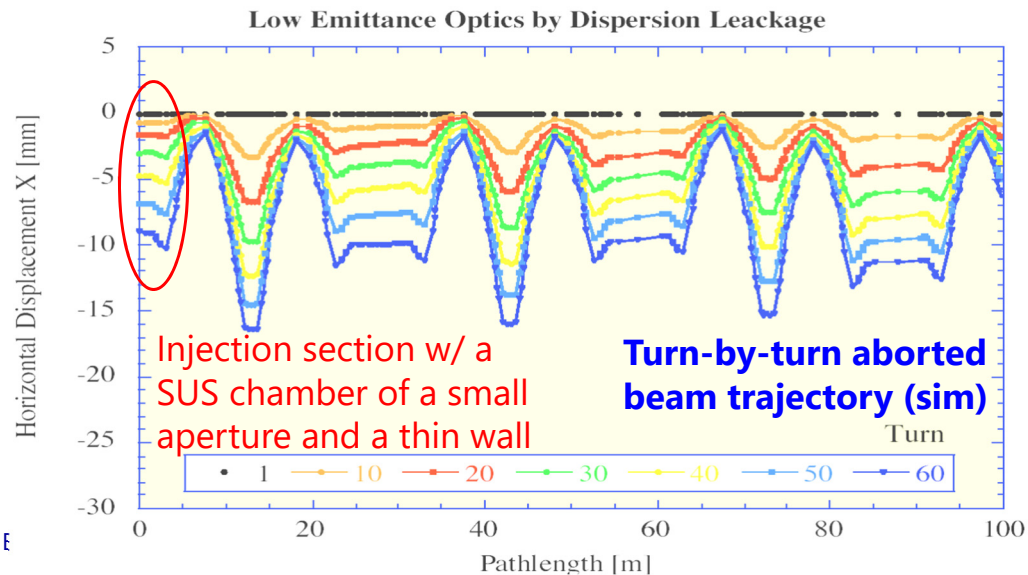
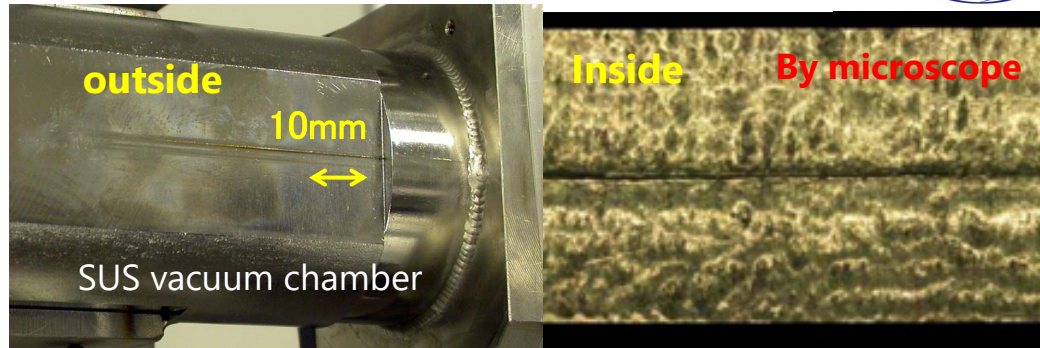
## ■ Formulation of an aborted beam motion with an external sinusoidal kick

## ■ Application to the case of the 3GeV light source ring

## ■ Analogy between electron motion and light diffraction

## ■ Summary

- Concern for high density/current beam in DLRs:
  - Some cares must be taken when beams are dumped
- Accident in the SPring-8 ring:
  - Aborted electron beams (8GeV, 100mA,  $\epsilon_0=3.4\text{nmrad}$ ) melted a vacuum chamber made of SUS
  - Vacuum leakage occurred
- Tracking simulation:
  - Unexpected peak of higher-order dispersion caused by the change in optics settings
  - SUS chamber of a small aperture and a thin (0.7mm) wall at the injection section
  - Concentration of heat load by electron beams on the SUS chamber



# Abort beam handling in 3GeV ring

Two countermeasures for the protection of vacuum chambers from high-density/current beams:

## ■ Electron beam absorber

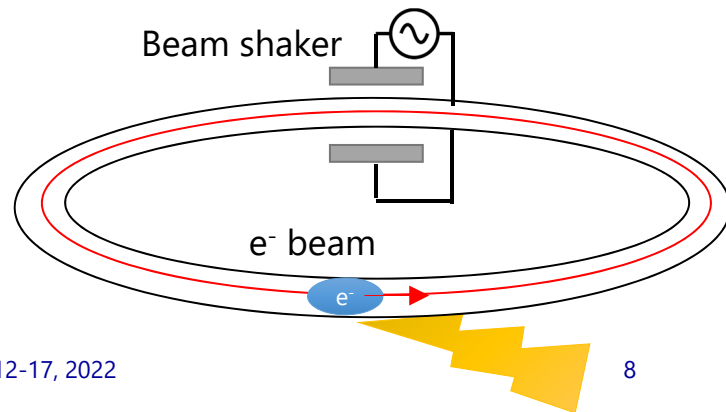
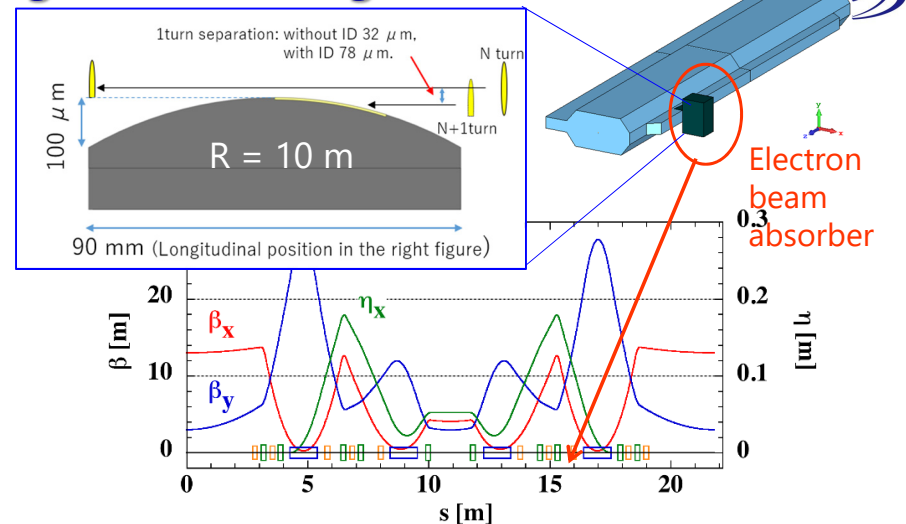
- ✓ Made of graphite (low-Z, high melting point)
- ✓ Placed near a dispersion peak of each cell
- ✓ Curved (R=10m) surface to scatter off electrons and distribute the heat load

## ■ Beam shaker

- ✓ Activated shortly after switching off the RF power (beam abort)
- ✓ Apply sinusoidal-patterned kicks to the beam to spread the beam vertically and reduce the beam density.

$$\theta(t) = \theta_0 \sin\left(\frac{2\pi\nu_f t}{T_{rev}}\right)$$

$\theta_0$ : Maximum kick (= 2  $\mu$ rad)  
 $\nu_f$ : Shaker's frequency in terms of tune  
 $T_{rev}$ : Revolution period (= 1.164  $\mu$ s)





# A simple question

*What is the most effective shaker's frequency?*

- Simple forced harmonic oscillator:

$$\ddot{x} + \omega_0^2 x = F_0 \sin(\omega_f t + \phi_0)$$



Resonant condition

$$\omega_f = \omega_0$$

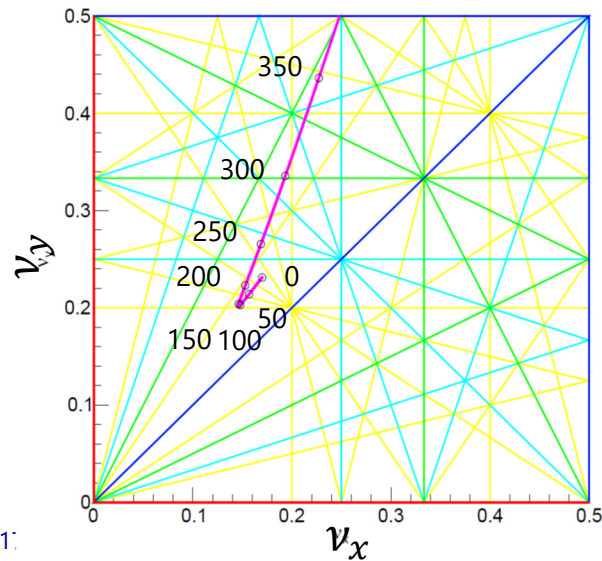
- Aborted beam with an external sinusoidal force:

$$\ddot{x} + \omega^2(t)x = F_0 \sin(\omega_f t + \phi_0)$$

Time-dependent due to energy loss by synchrotron rad



$$\omega_f = ?$$



# Formulation

- Suppose that an electron undergoes a sinusoidal time-varying kick every turn from the beam shaker at  $s = s_f$  shortly after the RF is switched off ( $t = 0$ ).
- Transverse motion of an electron is determined by:

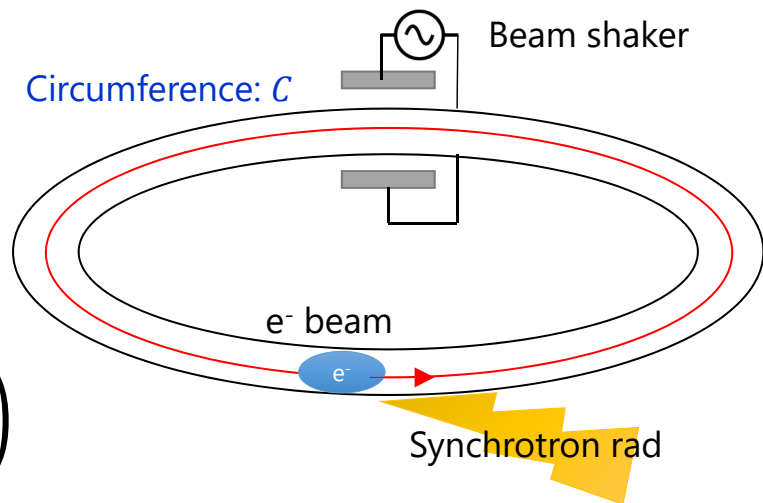
$$\frac{d^2 z}{ds^2} + K(s)z = F(s)$$

Basic equation

with an external force by beam shaker:

$$F(s) = \delta(s - s_f) F_0 \cos\left(\frac{2\pi\nu_f s}{C}\right)$$

Shaker's frequency in terms of "tune"



# Formulation (cont'd)

- Introducing the following variables (Courant-Snyder transformation):

$$u \equiv \frac{z}{\sqrt{\beta}} \quad v \equiv \frac{1}{2\pi} \oint \frac{ds}{\beta} \quad \phi \equiv \frac{1}{v} \int \frac{ds}{\beta}$$

- The basic equation becomes "a quite familiar form":

$$\frac{d^2 u}{d\phi^2} + v^2 u = \bar{F}(\phi)$$

← Same form as the equation of motion for a forced harmonic oscillator

where

$$\begin{aligned} \bar{F}(\phi) &= v^2 \beta^{\frac{3}{2}} \left| \frac{ds}{d\phi} \right|^{-1} \sum_{0 \leq \phi_{f,n} \leq \phi} \delta(\phi - \phi_{f,n}) F_0 \cos(v_f \phi + \phi_0) \\ &\equiv \sum_{0 \leq \phi_{f,n} \leq \phi} \bar{F}_0 \cos(v_f \phi + \phi_0) \quad \bar{F}_0 \equiv v \sqrt{\beta} F_0 \end{aligned}$$

# Chromatic effect

- Since the RF is switched off, the electron loose its energy gradually through synchrotron radiation (Left top fig).
- So the betatron tune also varies gradually according to the chromaticity (Right bottom fig).
- In our model, such an effect is taken into account as "chromatic effects":

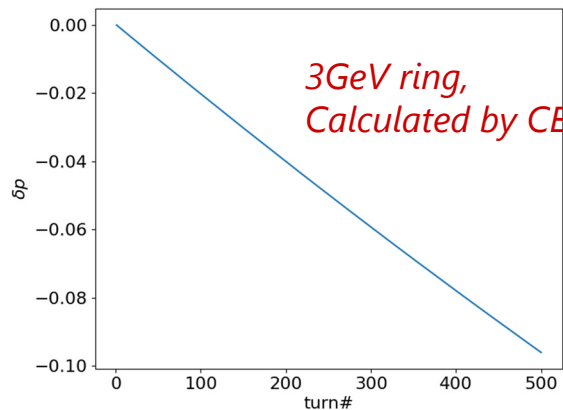
$$\frac{d^2u}{d\phi^2} + v^2(\phi)u = \bar{F}(\phi)$$

- NOTE: since the linear chromaticity takes a small positive value, "adiabatic condition" holds in general:

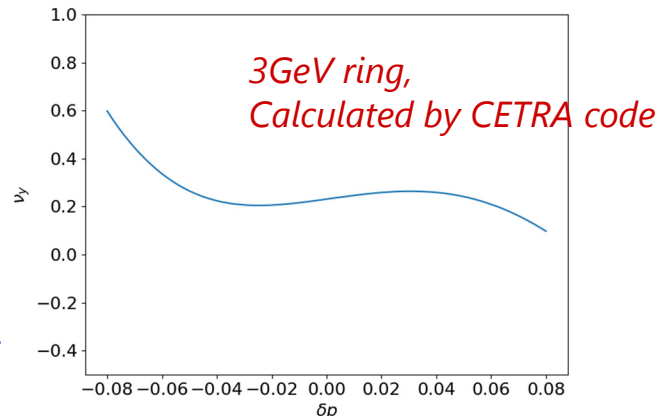
$$|\dot{v}(\phi)| \ll v^2(\phi) \quad |\ddot{v}(\phi)| \ll v^3(\phi)$$

Betatron tune varies **very slowly** compared to betatron oscillation.

## Momentum $\delta p$ as a function of turn#



## Non-linear chromaticity (Vertical)



- General solution for an inhomogeneous differential equation:

$$\mathcal{L}y(x) = f(x) \quad \Rightarrow \quad y(x) = \int dx' G(x, x') f(x') \quad \text{with } \mathcal{L}G(x, x') = \delta(x' - x)$$

G: Green's function

- Green's function can be obtained using two independent solutions of the corresponding homogeneous equation [ $\mathcal{L}a_i(x) = 0$ ]:

$$G(x, x') = \frac{\begin{vmatrix} a_1(x') & a_2(x') \\ a_1(x) & a_2(x) \end{vmatrix}}{W(a_1, a_2)(x')} \quad \text{W: Wronskian}$$

- In the present case, a Green's function can be obtained **under the adiabatic condition**:

$$G(\phi, \phi') = \frac{-i}{2\sqrt{v(\phi)v(\phi')}} \exp \left[ i \int_{\phi'}^{\phi} d\chi v(\chi) \right] + \text{c. c.}$$

# Transverse motion of an aborted electron

- A particular solution of the basic equation is written as:

$$u(\phi) = \frac{i\bar{F}_0}{4\sqrt{\nu(\phi)}} \exp \left[ -i \int_0^\phi \nu(\chi) d\chi \right] \times h(\phi; \nu_f) + \text{c. c.}$$

Harmonic oscillator with  
frequency modulation

Frequency response to the beam shaker

- Envelope function (response function) is defined as:

$$h(\phi; \nu_f) = \frac{1}{2\pi} \int_0^\phi \frac{d\phi'}{\sqrt{\nu(\phi')}} \exp \left[ i \left\{ \int_0^{\phi'} \nu(\chi) d\chi - \nu_f - \phi_0 \right\} \right]$$

# Application to the 3GeV ring

- Our simple model was applied to the 3GeV ring, and the results were compared with those of tracking simulation, *CETRA*, which performs a symplectic integration based on the Hamiltonian.
- For simplicity, a perfect ring was assumed; i.e. there is no error magnetic field in both cases.
- Radiation loss in the tracking simulation was calculated using expected values.
- In our model, the chromatic effect was implemented as a fifth order polynomial function, and each coefficient was determined by fitting the *CETRA* result (Right fig).

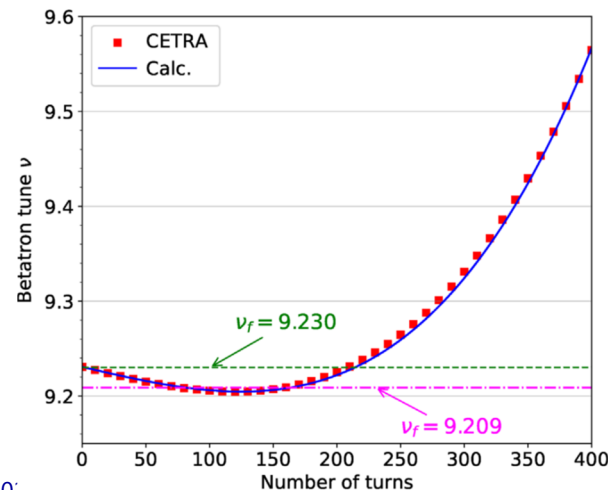
$$\nu(\delta) = \nu_0 + \xi_1\delta + \xi_2\delta^2 + \xi_3\delta^3 + \xi_4\delta^4 + \xi_5\delta^5$$

$$\delta = -n\Delta$$

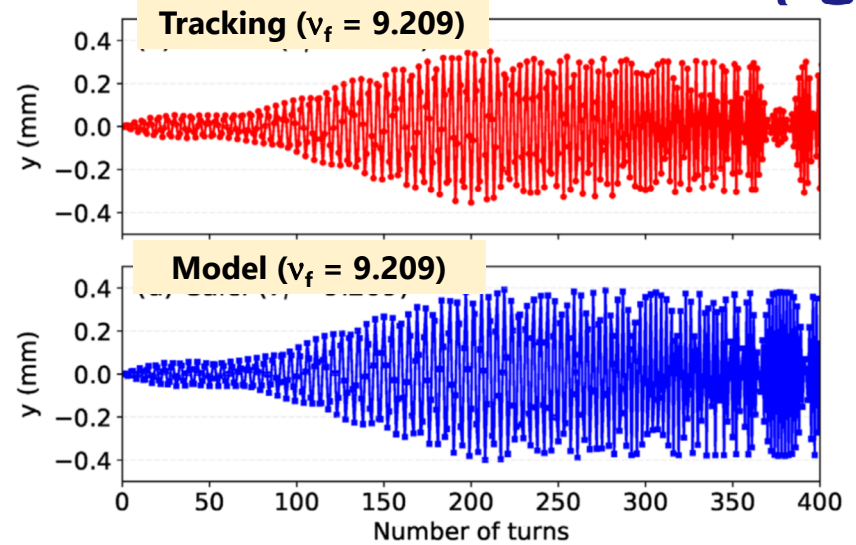
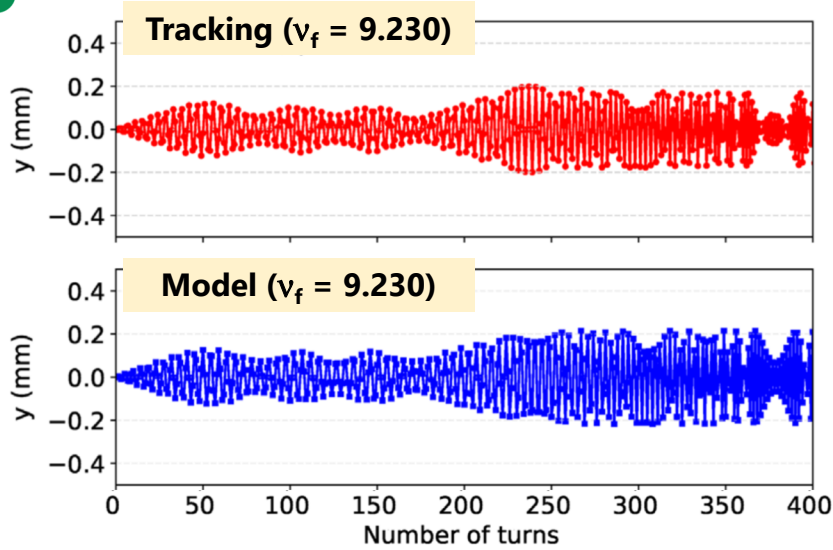
$$\Delta = U/E_0$$

$U$ : Energy loss per turn

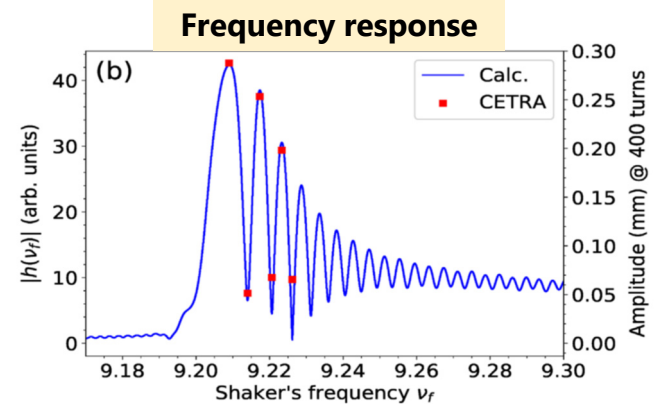
$E_0$ : Reference energy



# Simple model vs Tracking simulation



- Up to  $\sim 230$  turns, our model is in excellent agreement with the CETRA tracking.
- After that, we see some discrepancies probably due to:
  - The change in path length due to radiation loss, causing a timing shift of receiving a kick
  - The deterioration of the adiabatic condition due to non-linearities of chromaticity
- Nevertheless, our model serves well for quick estimate of frequency response (see right bottom fig.).

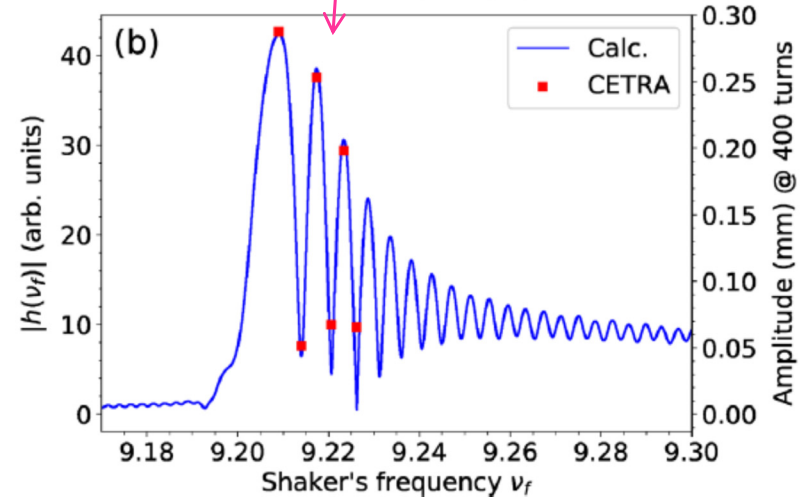
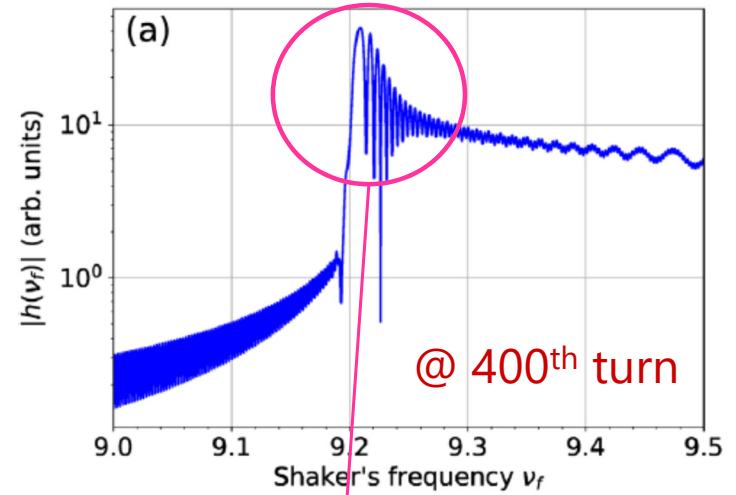
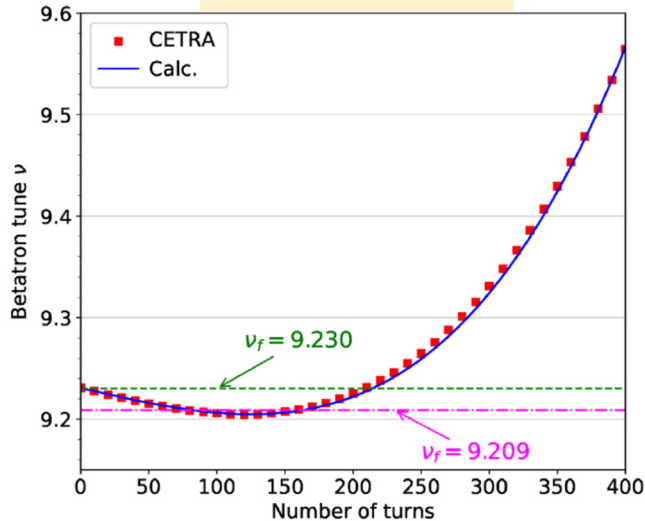




# Frequency response

- Gross structure is well understood:
  - How many times the aborted beam crosses the resonant condition?
  - How fast the aborted beam crosses the resonant condition?
- What is the origin of the structure like a diffraction pattern?

## Tune excursion



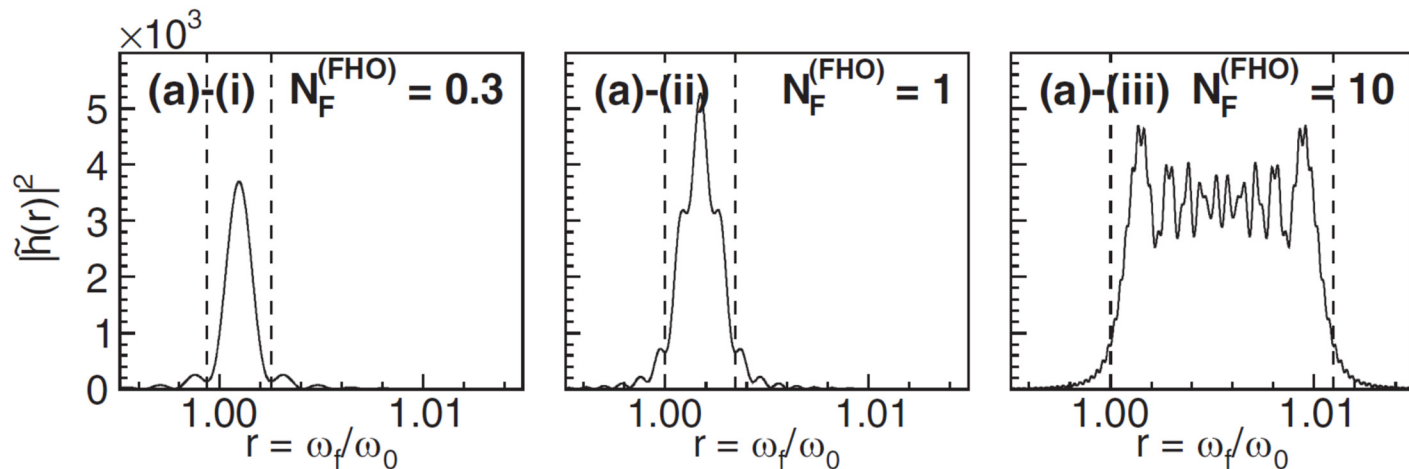
- Response function for linearly-varying tune:

$$h(\phi; \nu_f) = \frac{1}{\sqrt{2\nu_0\xi_1\Delta}} \exp \left[ -i \left\{ \phi_0 - \frac{\pi(\nu_0 - \nu_f)^2}{\xi_1\Delta} \right\} \right] \times [\{C(u_2) - C(u_1)\} - i\{S(u_2) - S(u_1)\}]$$

$$\left\{ \begin{array}{l} C(u) = \int_0^u \cos\left(\frac{\pi}{2}v^2\right) dv \\ S(u) = \int_0^u \sin\left(\frac{\pi}{2}v^2\right) dv \end{array} \right.$$

← Fresnel integrals

$$\left\{ \begin{array}{l} u_1 = -\frac{\sqrt{2}(\nu_0 - \nu_f)}{\sqrt{\xi_1\Delta}} \\ u_2 = u_1 + \sqrt{2\xi_1\Delta} \end{array} \right.$$



← Looks like an intensity pattern for single-slit diffraction!!

## ■ Aborted electron beam:

Frequency response

$$h(\phi; \nu_f) \propto$$

$$\int_0^\phi \exp \left[ i \left\{ 2\pi(\nu_0 - \nu_f) \left( \frac{\phi}{2\pi} \right) \left( \frac{\phi'}{\phi} \right) - \pi \xi_1 \Delta \left( \frac{\phi}{2\pi} \right)^2 \left( \frac{\phi'}{\phi} \right)^2 \right\} \right] d\phi'$$

## ■ Single-slit diffraction:

Electric field on the screen

$$E(x) \propto$$

$$\int_0^{2a} \exp \left[ i \left\{ -4\pi N_F \frac{x}{a} \left( \frac{\xi}{2a} \right) - 4\pi N_F \left( \frac{\xi}{2a} \right)^2 \right\} \right] d\xi$$

$$N_F \equiv \frac{a^2}{\lambda r_0} : \text{Fresnel number}$$

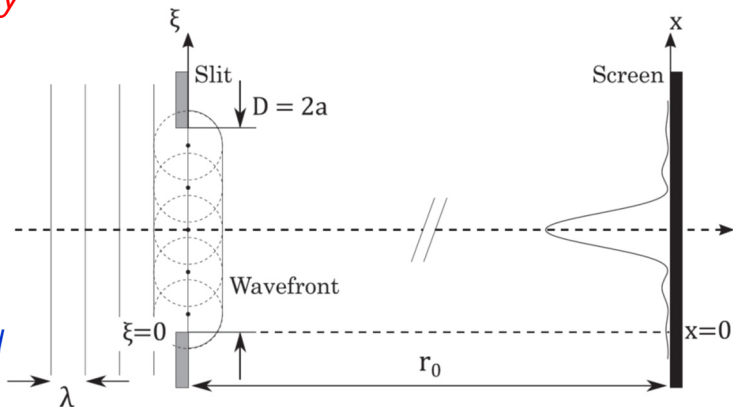
*Both phase functions change "quadratically" on the integral variable!!*

- Relations connecting two phenomena:

$$2\pi \left( \frac{\phi}{2\pi} \right) (\nu_f - \nu_0) \Leftrightarrow 2N_F \frac{x}{a}$$

$$\xi_1 \Delta \left( \frac{\phi}{2\pi} \right)^2 \Leftrightarrow 4N_F$$

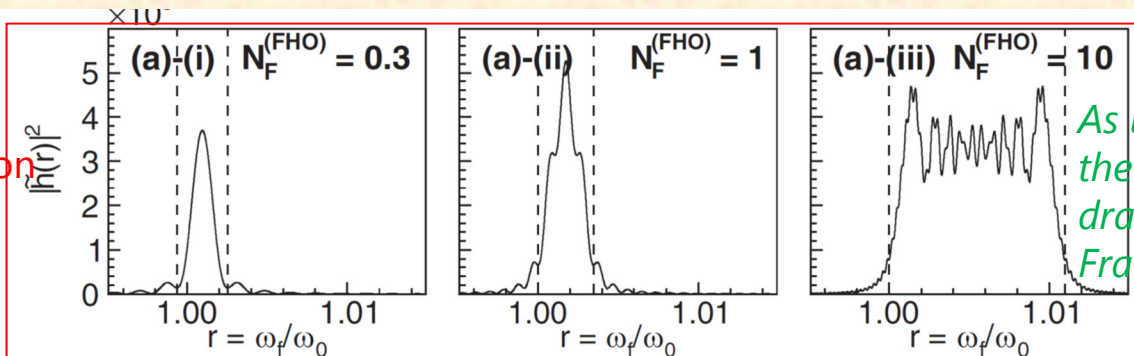
← Fresnel number can be also defined for aborted beam



# Frequency response and diffraction pattern

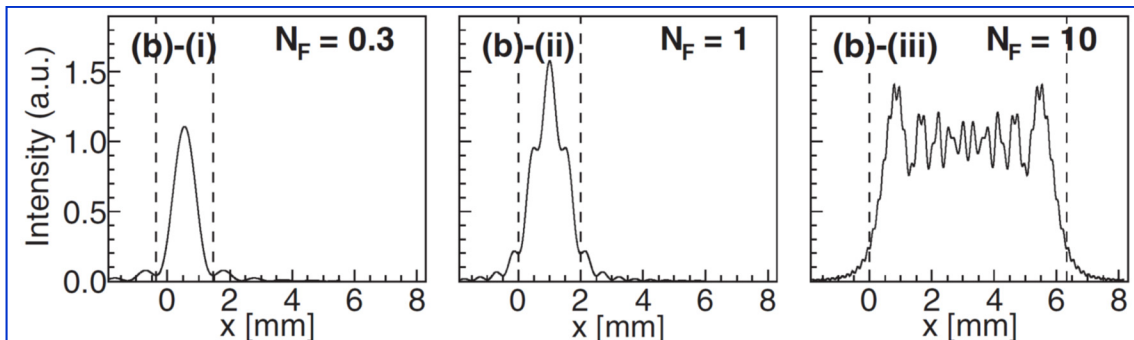
In the light diffraction theory, a Fresnel number  $N_F$  is often used to characterize a diffraction pattern:

- $N_F \ll 1$ : Fraunhofer (far-field) diffraction occurs. A diffraction pattern is a Fourier transform of the slit aperture.
- $N_F \gtrsim 1$ : Fresnel (near-field) diffraction occurs. A diffraction pattern is just a shadow of the slit aperture.



*As is the light diffraction case, the frequency response changes drastically at  $N_F = 1$  from Fraunhofer-type to Fresnel-type.*

Aborted electron beam



Single-slit diffraction

# Correspondence relation

Aborted electron beam	Single-slit diffraction
Shaker's frequency, $\nu_f$ ※	Position on the screen, $x$
Betatron tune of the electron, $\nu$	Position inside the slit, $\xi$
Total change in betatron tune, $\xi_1 \Delta \frac{\phi}{2\pi}$	Slit aperture size, $2a$
Phase slippage btwn betatron oscillation and sinusoidal patterned kick	Quadratic change in optical path length
A quantity, $\tilde{N}_F \equiv \frac{\xi_1 \Delta}{4} \left( \frac{\phi}{2\pi} \right)^2$	Fresnel number, $N_F \equiv \frac{a^2}{\lambda r_0}$

※ Strictly speaking, it is not shaker's frequency itself, but the deviation of shaker's frequency w.r.t. the initial betatron tune.

- Can be used as a **quick estimator for optimal shaker's frequencies**
- In light diffraction, diffraction patterns are well understood:

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Fraunhofer regime ( $N_F \ll 1$ )

$$\bar{x}_{\min/\max} = a \pm \frac{2a}{4N_F}$$

Principal diffraction peak

Fresnel regime ( $N_F \gtrsim 1$ )

$$\bar{x}_{\min/\max} = a \pm a$$

Just a shadow of slit aperture

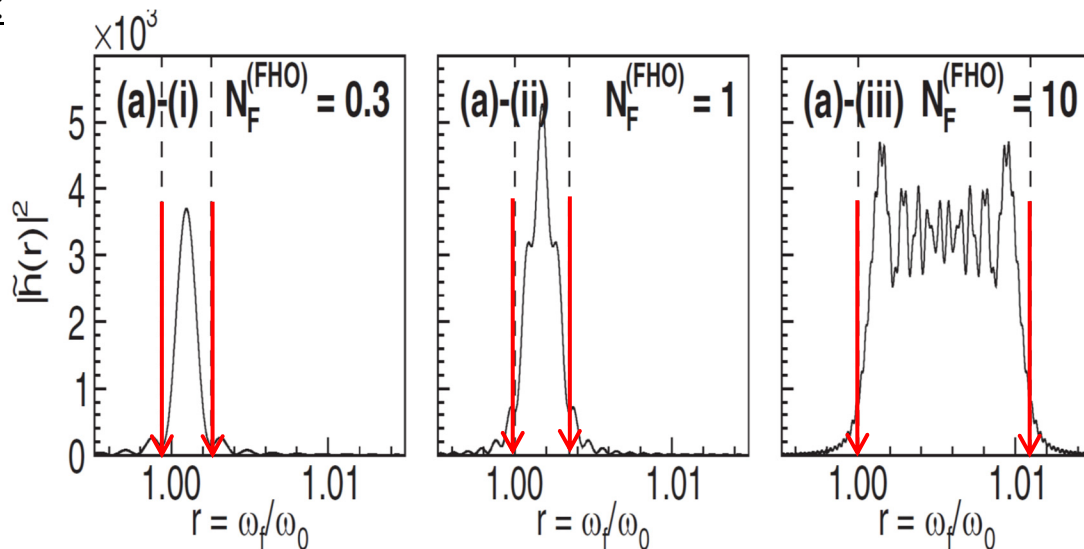
- Shaker's frequency for beam abort:

Fraunhofer regime ( $N_F \ll 1$ )

$$v_f^{\min/\max} = v \left( \frac{\phi}{2} \right) \pm \frac{2\pi}{\phi}$$

Fresnel regime ( $N_F \gtrsim 1$ )

$$v_f^{\min/\max} = v \left( \frac{\phi}{2} \right) \pm \frac{1}{2} \xi_1 \Delta \frac{\phi}{2\pi}$$



# Application (2)

- Applicable to the analysis of **resonance-crossing phenomena**
- Time development of oscillation amplitude behaves like **knife-edge diffraction** (i.e., Fresnel diffraction from a straight edge):

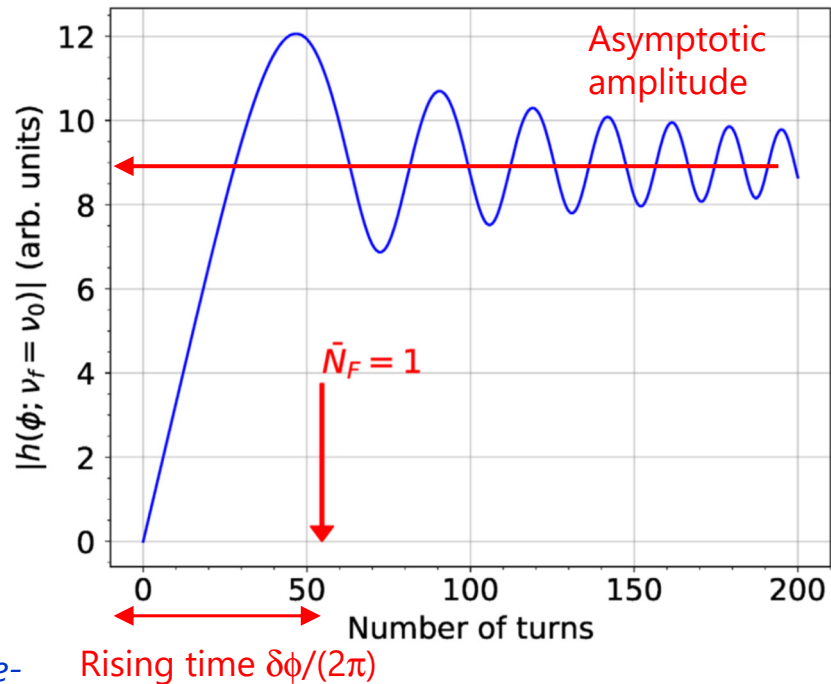
$$h(\phi; \nu_f = \nu_0) = \frac{e^{-i\phi_0}}{\sqrt{2\nu_0\xi_1\Delta}} \left[ C \left( \sqrt{2\xi_1\Delta} \frac{\phi}{2\pi} \right) - iS \left( \sqrt{2\xi_1\Delta} \frac{\phi}{2\pi} \right) \right]$$

- Using our knowledge of light diffraction:

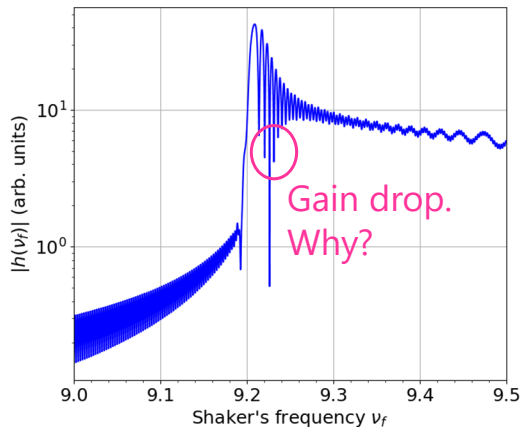
Rising time:  $\left( \frac{\delta\phi}{2\pi} \right) = \frac{1}{\sqrt{\xi_1\Delta}}$

Asymptotic amplitude:  $|h|_\infty = \frac{1}{2\sqrt{\nu_0\xi_1\Delta}}$

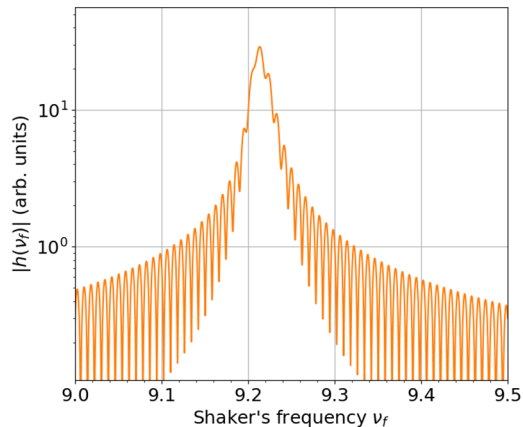
*Consistent with the well-known fact that "the effect of resonance-crossing is inversely proportional to the square-root of crossing-speed".*



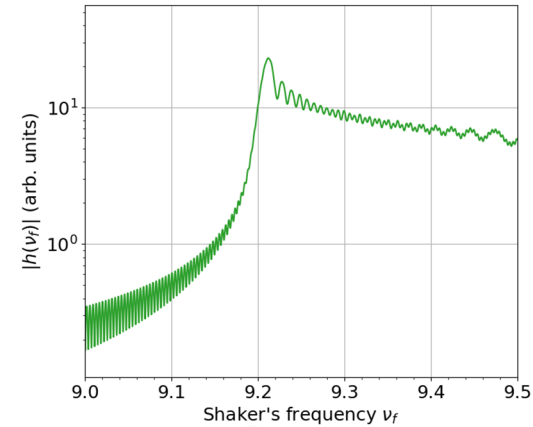
# A closer look: Frequency response



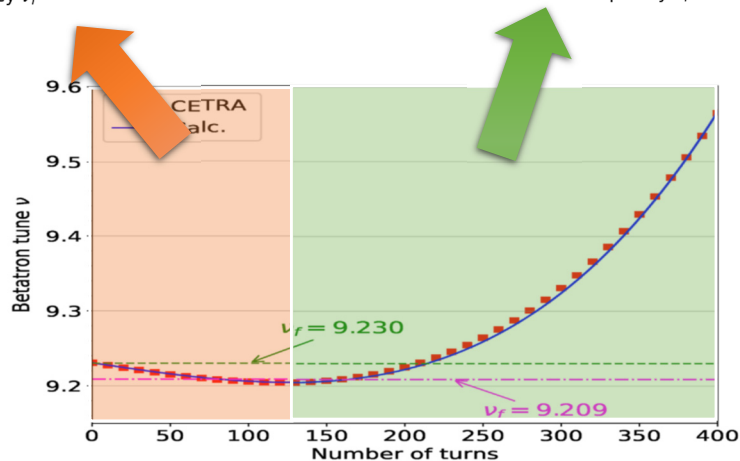
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- Can be interpreted as a further superposition of two diffraction patterns with different  $\bar{N}_F$ .
- → Gain drop around  $\nu_f \sim 9.23$  is attributed to destructive interference between the two diffraction pattern.





# Summary

- We found that the behavior of an aborted electron is quite similar to light diffraction, in designing a safe beam abort system for diffraction-limited rings.
- This stems from the phase slippage between the betatron oscillation and the sinusoidal-patterned force, caused by a slowly-varying betatron tune, which mimics the parabola approximation of optical path length in Fresnel's light diffraction theory.
- With this analogy, we can predict the aborted beam motion in a very straightforward way.
- We expect that our idea will provide a simple and intuitive approach to the analyses of resonance-crossing phenomena, which is of great concern in designing a ring-type accelerator.

Thank you for your attention! 😊