# RIGOROUS APPROACH FOR CALCULATION OF RADIATION OF A CHARGED PARTICLE BUNCH EXITING AN OPEN-ENDED DIELECTRICALLY LOADED WAVEGUIDE\*

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### Abstract

First, recent results on radiation of a Cherenkov mode at the open end of a dielectric-lined circular waveguide (including a three-layer case) are presented. Second, rigorous solution is presented for the case of a charged particle bunch exiting the open end of a waveguide with uniform dielectric filling.

### **INTRODUCTION**

Among prospective applications of dielectric-filled waveguides and Cherenkov effect one can mention dielectric wakefield acceleration [1–3], bunch manipulation [4–6] and beamdriven radiation sources [7–9]. Mentioned cases typically involve interaction of both EM waves and charged particle bunches with an open end of certain open-ended waveguide structure loaded with dielectric. Convenient rigorous approach for the circular waveguide geometry has been presented recently [10, 11] (internal excitation in the form of a slow waveguide mode has been used). However, problems with more complicated layered filling [9] and excitation in the form of a charged particle bunch require similar analytical solution. These are main topics of the present paper.

### OPEN-ENDED WAVEGUIDE WITH DIELECTRIC LINING

First, we briefly discuss a two-layer open-ended waveguide with PEC walls excited by single waveguide mode (details can be learned from [11]), see Fig. 1. A  $\varphi$ -symmetric TM problem is considered in the harmonic regime with time dependence in the form  $H_{\varphi}(\rho, z, t) = H_{\omega\varphi}(\rho, z) \exp(-i\omega t)$ . Single symmetrical  $TM_{0l}$  mode is incident on the open end while the reflected field inside the waveguide  $H_{\omega\varphi}^{(r)}$  is decomposed into a series of such modes propagating in the opposite direction (z-dependence for the incident mode is ~  $\exp(ik_{zl}z)$ ) with unknown "reflection coefficients" { $M_m$ } that should be determined:

$$H_{\omega\varphi}^{(r)} = \sum_{m=1}^{\infty} M_m e^{-ik_{zm}z} \times \begin{cases} J_1(\rho\sigma_m) / \sigma_m & \text{for } \rho < b, \\ [J_1(\rho s_m) Y_0(as_m) - Y_1(\rho s_m) J_0(as_m)] \\ \times J_1(b\sigma_m) / [\sigma_m \psi_0(s_m)] & \text{for } b < \rho < a, \end{cases}$$
(1)

where  $J_{\nu}$  and  $Y_{\nu}$  are Bessel and Neumann functions, transverse wave numbers  $\sigma_m$  and  $s_m$  are determined by dispersion



Figure 1: Two-layer problem and main notations.

equation (Eq. (4) in [11]),

$$\psi_0(s_m) = J_1(bs_m)Y_0(as_m) - J_0(as_m)Y_1(bs_m), \quad (2$$

longitudinal wave numbers  $k_{zm} = \sqrt{k_0^2 - \sigma_m^2} = \sqrt{k_0^2 \varepsilon - s_m^2}$ , Im $k_{zm} > 0$ ,  $k_0 = \omega/c + i\delta$  ( $\delta \to 0$  is responsible for small dissipation), *c* is the light speed in vacuum.

After a series of calculations involving field matching. deriving Wiener-Hopf equation, factorization (see [11] for details) we arrive at the following infinite linear system:

$$\sum_{m=1}^{\infty} W_{pm} M_m = M^{(i)} w_p, \quad p = 1, 2, \dots,$$
(3)

$$W_{pm} = \left(k_{zm}\varepsilon^{-1} + \alpha_p\right)\eta_m(\alpha_p) - \frac{\zeta_m(\alpha_p)}{k_{zm} - \alpha_p} + u_p$$

$$\times \sum_{q=1}^{\infty} \left[ \left( \frac{k_{zm}}{\varepsilon} - \alpha_q \right) \eta_m(\alpha_q) - \frac{\zeta_m(\alpha_q)}{k_{zm} + \alpha_q} \right] v_{pq}, \tag{4}$$

$$w_{p} = \left(k_{zl}\varepsilon^{-1} - \alpha_{p}\right)\eta_{l}(\alpha_{p}) - \frac{\zeta_{l}(\alpha_{p})}{k_{zl} + \alpha_{p}} + u_{p}$$
$$\times \sum_{q=1}^{\infty} \left[ \left(\frac{k_{zl}}{\varepsilon} + \alpha_{q}\right)\eta_{l}(\alpha_{q}) - \frac{\zeta_{l}(\alpha_{q})}{k_{zl} - \alpha_{q}} \right] v_{pq}, \tag{5}$$

$$\begin{split} u_p &= \kappa_+(\alpha_p) G_+(\alpha_p) J_1(j_{0p}) a/(2ij_{0p}), \\ v_{pq} &= \kappa_+(\alpha_q) G_+(\alpha_q) j_{0q} \left[ a^2 \alpha_q J_1(j_{0q}) (\alpha_p + \alpha_q) \right]^{-1}, \end{split}$$

 $M^{(i)}$  is amplitude constant for the incident mode,  $G(\alpha) = \pi a \kappa J_0(a\kappa) H_0^{(1)}(a\kappa) = G_+(\alpha) G_-(\alpha)$  (subscripts  $\pm$  mean that function is holomorphic and free of poles and zeros in areas Im  $\alpha > -\delta$  and Im  $\alpha < \delta$ , correspondingly),  $\kappa = \sqrt{k_0^2 - \alpha^2}$ ,  $\kappa_{\pm} = \sqrt{k_0 \pm \alpha}$ ,  $\alpha_q = \sqrt{k_0^2 - j_{0q}^2/a^2}$ ,  $J_0(j_{0m}) = 0$ , functions  $\Pi(\alpha)$ ,  $\eta_m(\alpha)$ ,  $\zeta_m(\alpha)$  are defined in [11]. For finite *p* and  $m \to +\infty$  we have  $W_{pm}M_m = o(m^{-3/2})$ , the series (3) converges and can be solved numerically.

For z > 0 the following representation holds:

$$H_{\omega\varphi} = \sum_{q=1}^{\infty} \Pi(-\alpha_q) \frac{\kappa_+(\alpha_q) G_+(\alpha_q) j_{0q}}{a^2 b^{-1} \alpha_q J_1(j_{0q})} \frac{L_q^+(\rho, z)}{2}, \quad (6)$$

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Figure 2: Near-field distribution of  $|H_{\omega\varphi}|$  for the cases of incident Cherenkov mode with number l = 5, 10, 20, calculation parameters are: a = 0.24 cm, b = a/3,  $\varepsilon = 2$ ,  $f_5^{CR} = 397$  GHz,  $f_{10}^{CR} = 864$  GHz,  $f_{20}^{CR} = 1.81$  THz. Constant  $M^{(i)}$  is chosen so that incident mode carries unity power, all plots are normalized to the maximum value of  $|H_{\omega\varphi}|$  for l = 5.

where  $L_q^+$  is defined by Eq. (47) in [10].

Figure 2 shows near-field distribution over the region  $0 < z < 2a, 2 < \rho < 2a$ . The mode frequency *f* was chosen to be equal to the frequency of 5-th, 10-th and 20-th Cherenkov mode produced by a moving charge having Lorentz factor  $\gamma = 7$ . One can clearly see penetration of waveguide modes to the vacuum area and formation of main and lateral lobes of the radiation patterns.

For a three-layer case, see Fig. 3, formulation of the problem and its solution are in general similar to those for a two-layer case, see [12] for details. In particular, an infinite system for reflection coefficients similar to (3) can be obtained and solved numerically, field representation (6) is also valid for this case (with substitution  $a \rightarrow d$  and more complicated form of  $\Pi(\alpha)$ ).

Figure 4 shows how radiation of the 1-st Cherenkov mode changes with an increase in thickness of the third layer (parameters are chosen in accordance with paper [9] where possibilities to enhance directivity and reduce reflection of the capillary-based beam-driven source of THz radiation by adding the third layer with permittivity  $\epsilon$  just slightly larger than unity are investigated). As one can see, the position of radiation maximum (39°, 35°, 21°) and its width ( $2\Delta\theta_{0.7} = 52^\circ, 35^\circ, 25^\circ$ ) decrease twice while field magnitude increases 2.5 times with an increase in the thickness of the third layer from 0.1mm to 0.8mm, reflection ( $S_{11}$ ) also decreases essentially.



Figure 3: Geometry of a three-layer problem.

### UNIFORM FILLING AND EXCITATION BY A MOVING CHARGE

Here we discuss a problem with simpler filling (see Fig. 5) but excitation in the form of a point charged particle q mov-

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Figure 4: Far-field pattern (top) and S-parameters (bottom) for the 1-st Cherenkov mode ( $f_1^{CR} \approx 240$ GHz, the mode carries inity power in each case) exiting the three-layer structure, Fig. 3. Parameters: b = 0.4mm, a = 0.55mm,  $\varepsilon = 3.8$  (fused silica),  $\varepsilon = 1.01$ ,  $\gamma = 10$ , d - a is indicated in the legend.

ing along the waveguide axis with velocity  $c\beta$ ,  $\varepsilon\beta^2 > 1$  (generalization to the case of a thin prolonged bunch can be made straightforwardly). Incident field inside the waveguide ( $\rho < a, z < 0$ ) is

$$H_{\varphi\omega}^{(i)} = \frac{iqs}{2c} e^{ik_0 z/\beta} \left[ H_1^{(1)}(\rho s) - \frac{H_0^{(1)}(as)}{J_0(as)} J_1(\rho s) \right], \quad (7)$$

where  $s = \sqrt{k_0^2/\beta^2(\epsilon\beta^2 - 1)}$ , Ims > 0. In vacuum, we define an incident field in the area z > 0 only:

$$H_{\varphi\omega}^{(i0)} = \frac{iqs_0}{2c} e^{ik_0 z/\beta} H_1^{(1)}(\rho s_0), \tag{8}$$

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#### **D03: Calculations of EM fields - Theory and Code Developments**

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Figure 5: Geometry of the problem with uniform filling and excitation by moving charge.



Figure 6: Absolute value of the coefficients of reflected modes excited by a point charge exiting the open-end waveguide, see Fig. 5, a = 0.24 cm,  $\varepsilon = 2$ ,  $f_{10}^{CR} = 615$  GHz.

where  $s_0 = i\sigma_0$ ,  $\sigma_0 = k_0\sqrt{\beta^{-2} - 1}$ ,  $\text{Re}\sigma_0 > 0$ . Reflected field is decomposed as usual

$$H_{\varphi\omega}^{(r)} = \sum_{m=1}^{\infty} M_m J_1\left(\frac{\rho j_{0m}}{a}\right) e^{-ik_{zm}z},\tag{9}$$

where  $k_{zm} = \sqrt{k_0^2 \varepsilon - j_{0m}^2 a^{-2}}$ ,  $\text{Im}k_{zm} > 0$ , coefficients  $\{M_m\}$  should be determined.

After a series of derivations we obtain the following system for  $\{M_m\}$ :

$$\sum_{m=1}^{\infty} W_{pm}^{q} M_{m} = w_{p}^{q}, \quad p = 1, 2, \dots,$$
(10)

$$W_{pm}^{q} = J_{1}(j_{0m}) \left[ \zeta_{m+}(\alpha_{p}) + \frac{\delta_{mp}ia\left(\frac{\kappa_{zm}}{\varepsilon} + \alpha_{m}\right)}{\kappa_{+}(\alpha_{m})G_{+}(\alpha_{m})} \right], \quad (11)$$

$$w_p^q = \frac{q}{c\pi a} \frac{\zeta_{0+}(\alpha_p)}{J_0(as)} + J_1(j_{0p})\phi_p ia \frac{\frac{k_0}{\varepsilon\beta} - \alpha_p}{\kappa_+(\alpha_p)G_+(\alpha_p)},$$
(12)

$$\zeta_{0+}(\alpha) = \frac{G_+(\alpha_0)\kappa_+(\alpha_0)\left(\frac{\kappa_0}{\varepsilon\beta} + \alpha_0\right)}{2\alpha_0(\alpha_0 + \alpha)},\tag{13}$$

$$\zeta_{m+}(\alpha) = \frac{G_{+}(\alpha_{m})\kappa_{+}(\alpha_{m})\left(\frac{k_{zm}}{\varepsilon} - \alpha_{m}\right)}{2\alpha_{m}(\alpha_{m} + \alpha)},$$
(14)

$$\phi_p = \frac{iqs}{2c} \frac{4ij_{0p}}{\pi as J_1^2(j_{0p})} \frac{1}{(as)^2 - j_{0p}^2},\tag{15}$$

 $\alpha_0 = \sqrt{k_0^2 - s^2}, \, \mathrm{Im}\alpha_0 > 0.$ 

The obtained solution describes all radiation processes occuring at the open end including radiation of Cherenkov modes, transition radiation at the dielectric-vacuum interface and diffraction radiation from the PEC edge of the waveguide. For example, Fig. 6 shows frequency spectrum of  $M_m$  (in the range  $\pm 30\%$  with respect to the 10-th Cherenkov frequency  $f_{10}^{CR}$ ) for a waveguide with parameters from paper [10]. One can see that a coefficient with given *m* possesses a strong maximum for  $f = f_m^{CR}$  which is natural since the incident field inside the waveguide (7) possesses the same maximum.

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